01/31/02

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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Total Pages in this Submission

Docket No.

529

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Fotal Pages in this Submission 59

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

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|--|--------|
| Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application   | for an |
| invention entitled:  |        |
| SYSTEM FOR MANAGING CONFIGURATION MEMORY WITH TRANSACTION AND REDUNDANCY SUPPORT IN AN OPTICAL NETWORK ELEMENT   |        |
| SUPPORT IN AN OPTICAL NET WORK ELEMENT   |        |
|  |        |
| and invented by:   |        |
| Andrei Ponomarenko   |        |
| <u> </u>   |        |
|  |        |
|  |        |
| If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:   |        |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:   |        |
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| Which is a:  |        |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:   |        |
|  |        |
| Enclosed are:  |        |
| Application Elements   |        |
|  |        |
| 1. 🗵 Filing fee as calculated and transmitted as described below   |        |
| and including the following:   |        |
| 2. Specification having pages and including the following:   |        |
| The state of the Invention   |        |
| a. 🗵 Descriptive Title of the Invention  |        |
| b. ⊠ Cross References to Related Applications (if applicable)  |        |
| c.   Statement Regarding Federally-sponsored Research/Development (if applicable)  |        |
|  |        |
| d.  Reference to Microfiche Appendix (if applicable)   |        |
| e. 🗵 Background of the Invention   |        |
| f. 🗵 Brief Summary of the Invention  |        |
| g. 図 Brief Description of the Drawings (if drawings filed)   |        |
| h. ⊠ Detailed Description  |        |
| 11. M Detailed Description   |        |
| i. ⊠ Claim(s) as Classified Below  |        |

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| 3.  | X           | Dra  | wing(s) (when                               | necessary a    | as prescribed by 3  | 35 USC 11           | 13)                   |                      |  |
|-----|-------------|--|---|----------------|---------------------|---------------------|-----------------------|----------------------|--|
|     | a.          | X  | Formal                                      | Num            | ber of Sheets       |                     | 16                    |                      |  |
|     | b.          |  | Informal                                    | Num            | ber of Sheets       |                     |                       |                      |  |
| 4.  | X           | Oat  | h or Declaration                            | on             |                     |                     |                       |                      |  |
|     | a.          | X  | Newly execut                                | ted (original  | or copy)            | Unexec              | cuted                 |                      |  |
|     | b.          |  | Copy from a                                 | prior applica  | ition (37 CFR 1.63  | 3(d)) (for c        | ontinuation/divisiona | al application only) |  |
|     | C.          | X  | With Power of                               | of Attorney    | ☐ Without P         | ower of A           | ttorney               |                      |  |
|     | d.          |  | DELETION C<br>Signed stater<br>see 37 C.F.R | ment attache   | ed deleting invent  | or(s) name          | ed in the prior appl  | lication,            |  |
| 5.  |             | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |   |                |                     |                     |                       |                      |  |
| 6.  |             | Cor  | mputer Prograr                              | n in Microfic  | the (Appendix)      |                     |                       |                      |  |
| 7.  |             | Nuc  | cleotide and/or                             | Amino Acid     | Sequence Submi      | ission <i>(if a</i> | pplicable, all must   | be included)         |  |
|     | a.          |  | Paper Copy                                  |                |                     |                     |                       |                      |  |
|     | b.          |  | Computer Re                                 | adable Cop     | y (identical to con | nputer cop          | y)                    |                      |  |
|     | C.          |  | Statement Ve                                | erifying Ident | tical Paper and Co  | omputer R           | teadable Copy         |                      |  |
|     |             |  |   |                | Accompanying A      | Applicatio          | n Parts               |                      |  |
| 8.  | $\boxtimes$ | Ass  | ignment Paper                               | rs (cover sh   | eet & document(s    | :))                 |                       |                      |  |
| 9.  |             | 37 (   | CFR 3.73(B) S                               | tatement (w    | hen there is an as  | ssignee)            |                       |                      |  |
| 10. |             | Eng  | glish Translatio                            | n Document     | t (if applicable)   |                     |                       |                      |  |
| 11. |             | Info   | rmation Disclo                              | sure Statem    | nent/PTO-1449       | ☐ Co <sub>l</sub>   | oies of IDS Citation  | ns                   |  |
| 12. |             | Pre  | liminary Amen                               | dment          |                     |                     |                       |                      |  |
| 13. | ×           | Ack  | nowledgment                                 | postcard       |                     |                     |                       |                      |  |
| 14. | ×           | Cer  | tificate of Maili                           | ng             |                     |                     |                       |                      |  |
|     |             |  | First Class                                 |                | ss Mail (Specify L  | abel No.):          | EV051635630US         |                      |  |

**Application Elements (Continued)** 

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|     | Accompanying Application Parts (Continued)   |  |  |  |  |  |
|-----|--|--|--|--|--|--|
| 15. | Certified Copy of Priority Document(s) (if foreign priority is claimed)  |  |  |  |  |  |
| 16. | Additional Enclosures (please identify below):   |  |  |  |  |  |
|     |  |  |  |  |  |  |
|     | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)  |  |  |  |  |  |
| 7.  | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.  |  |  |  |  |  |
|     | Warning  |  |  |  |  |  |
|     | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. |  |  |  |  |  |
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### **Fee Calculation and Transmittal**

| CLAIMS AS FILED  |          |             |        |   |           |          |  |  |  |  |
|--|----------|-------------|--------|---|-----------|----------|--|--|--|--|
| For  | #Filed   | #Allowed    | #Extra |   | Rate      | Fee      |  |  |  |  |
| Total Claims   | 20       | - 20 =      | 0      | x | \$18.00   | \$0.00   |  |  |  |  |
| Indep. Claims  | 3        | - 3 =       | 0      | х | \$84.00   | \$0.00   |  |  |  |  |
| Multiple Dependent Claims (check if applicable) □  |          |             |        |   |           |          |  |  |  |  |
|  |          | - 104 - No. |        |   | BASIC FEE | \$740.00 |  |  |  |  |
| OTHER FEE (specify purpose)  |          |             |        |   |           |          |  |  |  |  |
|  | \$740.00 |             |        |   |           |          |  |  |  |  |
| <ul> <li>☑ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0308         <ul> <li>as described below. A duplicate copy of this sheet is enclosed.</li> <li>☑ Charge the amount of \$740.00 as filing fee.</li> <li>☑ Credit any overpayment.</li> <li>☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.</li> <li>☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance.</li> <li>☐ pursuant to 37 C.F.R. 1.311(b).</li> </ul> </li> <li>Dated: JANUARY 31, 2002</li> <li>HENOCK GESSESSE</li></ul> |          |             |        |   |           |          |  |  |  |  |

### <u>ATTORNEY DOCKET NO. 529</u>

**BOX PATENT APPLICATION Assistant Commissioner for Patents** Washington, D.C. 20231

Re:

New U.S. Patent Application

Title: SYSTEM FOR MANAGING CONFIGURATION

MEMORY WITH TRANSACTION AND REDUNDANCY SUPPORT IN AN OPTICAL NETWORK ELEMENT

Inventor:

Andrei Ponomarenko

### Sir/Madam:

The following papers for filing in the United States Patent and Trademark Office in connection with the above patent application are enclosed.

Utility Patent Application Transmittal. 1.

- Application 38 pages, including title page, 3 independent claims and 20 claims 2. total.
- Drawings 16 sheets (20 figures) of formal drawings. 3.
- Declaration and Power of Attorney. 4.
- Recordation Form Cover Sheet (in duplicate) and Assignment to CIENA 5. Corporation.
- Authorization to Charge amount of \$740.00 to our Deposit Account. 6.

Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. Section 1.16 and Section 1.17, at any time during the pendency of this application, or credit any over payment of such fee(s) to Deposit Account No. 50-0308.

Please accord this application a serial number and filing date and record and return the Assignment to the undersigned.

Respectfully submitted

Rei #39,491

Henock Gessesse

Reg. No. 44,705

CIENA Corporation Legal Department 1201 Winterson Rd. Linthicum, MD 21090

Fax:

(410) 865-8500 (410) 865-8001